NON-INDUSTRIAL TIMBER MANAGEMENT PLAN

STATE OF CALIFORNIA
DEPARTMENT OF FORESTRY
AND FIRE PROTECTION
RM - 68 (Rev. 01-00)

SWANTON PACIFIC RANCH
NTMP

(In the CDF FPS, this is "THP Description")

SECTION I - GENERAL INFORMATION

This NTMP conforms to my/our plan and upon approval, I/we agree to conduct harvesting in accordance therewith. Consent is hereby given to the Director of Forestry and Fire Protection, and his or her agents and employees, to enter the premises to inspect timber operations for compliance with the Forest Practice Act and Forest Practice Rules.

1. TIMBER OWNER(S) OF RECORD: Name Cal Poly Corporation
   Address Building 15, 1 Grand Avenue
   City San Luis Obispo State CA Zip 93407 Phone (805) 756-1402
   Signature Suan Lee Legal Counsel Date 12-10-07
   NOTE: The timber owner is responsible for payment of a yield tax. Timber Yield Tax Information may be obtained at the Timber Tax Division, State Board of Equalization, P.O. Box 942879, Sacramento, California 94279-0080; phone 1-800-400-7115; BOE Web Page at http://www.boe.ca.gov.

2. TIMBERLAND OWNER(S) OF RECORD: Name Cal Poly Corporation
   Address Building 15, 1 Grand Avenue
   City San Luis Obispo State CA Zip 93407 Phone (805) 756-1402
   Signature Suan Lee Legal Counsel Date 12-10-07

TIMBERLAND OWNER(S) OF RECORD: Name Steve Spafford
   Address 410 Roberta Avenue
   City Pleasant Hill State CA Zip 94523 Phone (925) 938-2309
   Signature Steve Spafford Date 12/11/07
TIMBERLAND OWNER(S) OF RECORD: Name _____________ Susan Spafford England

Address _____________ 5519 South Commons Road

City _____________ Turlock State _____________ CA Zip _____________ 95380 Phone _____________ (209) 632-5027

Signature _____________ Susan Spafford England Date _____________ 12/11/07

TIMBERLAND OWNER(S) OF RECORD: Name _____________ Stuart Spafford

Address _____________ 26738 County Road 98

City _____________ Davis State _____________ CA Zip _____________ 95616 Phone _____________ (530) 400-1789

Signature _____________ John Spafford Date _____________ 12/11/07

3. LICENSED TIMBER OPERATOR(S): Name _____________ Big Creek Lumber Company Lic. No. _____________ A300

Address _____________ 3564 Highway 1

City _____________ Davenport State _____________ CA Zip _____________ 95017 Phone _____________ (831) 457-6383

Signature _____________ John Spafford Date _____________ 12-19-07

NOTE: If LTO is not known upon plan submission, submit information in a Notice of Timber Operations as per 14 CCR 1090.7.

4. PLAN SUBMITTER(S): Name _____________ Cal Poly Corporation

Address _____________ Building 15, 1 Grand Avenue

City _____________ San Luis Obispo State _____________ CA Zip _____________ 93407 Phone _____________ (805) 756-1402

Signature _____________ Starr Lee Legal Counsel Date _____________ 12-10-07

PLAN SUBMITTER(S): Name _____________ Steve Spafford*

Address _____________ 410 Roberta Avenue

City _____________ Pleasant Hill State _____________ CA Zip _____________ 94523 Phone _____________ (925) 938-2309

Signature _____________ Steve Spafford Date _____________ 12/11/07

PLAN SUBMITTER(S): Name _____________ Susan Spafford England*

Address _____________ 5519 South Commons Road

City _____________ Turlock State _____________ CA Zip _____________ 95380 Phone _____________ (209) 632-5027

Signature _____________ Susan Spafford England Date _____________ 12/11/07
CALIFORNIA POLYTECHNIC STATE UNIVERSITY
SAN LUIS OBISPO

SWANTON PACIFIC RANCH

NONINDUSTRIAL TIMBER MANAGEMENT PLAN
2007
Owners of parcel 057-121-10, (Steve Spafford, Susan Spafford England, and Stuart Spafford) are relieved of plan submittal responsibilities by Cal Poly Corporation, who assumes all plan submittal responsibilities, as documented in a letter included in Section V.

The Licensed Timber Operator is responsible for the conduct of operations. If the LTO is not present on-site, the RPF or another person named in the Notice of Timber Operations shall be the appropriate contact.

Will the timber operator be employed for the construction and maintenance of roads and landings during conduct of timber operations? If no, who is responsible?

The Licensed Timber Operator shall be responsible for proper construction, inspection and maintenance of erosion control structures in the pertinent management unit until the work completion report is approved by the Director. Cal Poly Corporation shall be responsible for inspection and any needed maintenance and repair of erosion controls during the remainder of the prescribed maintenance period for all parcels in the NTMP. Per 14 CCR 916.9(p), the erosion control maintenance period on permanent and seasonal roads and associated landings that are not abandoned in accordance with 14 CCR 923.8 shall be three years. A letter from Cal Poly Corporation to the other Timberland Owners, in which Cal Poly Corporation assumes all responsibility for erosion control maintenance resulting from timber harvest operations is included in Section V.

Expected date of commencement of timber operations, which in addition will require filing a Notice of Timber Operations:

The timber operation will occur within the:

- COAST FOREST DISTRICT
- Southern Subdistrict of the Coast F.D.
- SOUTHERN FOREST DISTRICT
- High use subdistrict of the Southern F.D.
- NORTHERN FOREST DISTRICT
- Other

Location of the timber operation by legal description:

- Base and Meridian: Mount Diablo; Davenport, USGS 7.5' Quadrangle 1997
- County: Santa Cruz
- Assessor's Parcel Number: 057-121-07, 057-121-10, 057-121-22, 057-121-14, 057-151-03, 057-251-08, 057-251-09

Information substantiating the mapped property boundaries, including recorded deeds and survey records, are housed at Cal Poly Swanton Pacific Ranch.

Assessor's parcels 057-251-08 and 057-251-09 are currently zoned Residential Agriculture (RA) and are in the process to re-zone to Timber Production (TP). No harvest operations shall occur on these parcels until they are re-zoned to TP and the RPF has provided CDF proof of re-zoning via minor amendment. An application to demonstrate that the parcels are in the process to rezone is included in Section V.
Owners of parcel 057-121-10, (Steve Spafford, Susan Spafford England, and Stuart Spafford) are relieved of plan submitter responsibilities by Cal Poly Corporation, who assumes all plan submitter responsibilities, as documented in a letter included in Section V.

5. a. If LTO is not present on-site, list person to contact on-site who is responsible for the conduct of the operation. If unknown, so state, but name must be included on each Notice of Timber Operations or amended into NTMP.

The Licensed Timber Operator is responsible for the conduct of operations. If the LTO is not present on-site, the RPF or another person named in the Notice of Timber Operations shall be the appropriate contact.

b. [ ] Yes [ ] No Will the timber operator be employed for the construction and maintenance of roads and landings during conduct of timber operations? If no, who is responsible?

c. Who is responsible for erosion control maintenance after timber operations have ceased and until certification of the Work Completion Report? If not the LTO, then a written agreement must be provided per 14 CCR 1050 (c).

The Licensed Timber Operator shall be responsible for proper construction, inspection and maintenance of erosion control structures in the pertinent management unit until the work completion report is approved by the Director per 14 CCR 1050(c). Cal Poly Corporation shall be responsible for inspection and any needed maintenance and repair of erosion controls during the remainder of the prescribed maintenance period per 14 CCR 1050(c). Cal Poly Corporation shall be responsible for controlling gated access to the NTMP area for the entire erosion control maintenance period per 14 CCR 926.19. The erosion control maintenance period on permanent and seasonal roads and associated landings that are not abandoned in accordance with 14 CCR 923.8 shall be three years per CC 916.9(p). A letter from Cal Poly Corporation to the other Timberland Owners/ Plan Submitters, in which Cal Poly Corporation assumes all responsibility for erosion control maintenance resulting from timber harvest operations is included in Section V.

6. Expected date of commencement of timber operations, which in addition will require filing a Notice of Timber Operations: [ ] date of NTMP conformance, or [ ] __________ (date)

7. The timber operation will occur within the:
   [ ] COAST FOREST DISTRICT
   [ ] Southern Subdistrict of the Coast F.D.
   [ ] SOUTHERN FOREST DISTRICT
   [ ] High use subdistrict of the Southern F.D.
   [ ] NORTHERN FOREST DISTRICT

8. Location of the timber operation by legal description: Davenport, USGS 7.5' Quadrangle 1997
   Base and Meridian: [ ] Mount Diablo; [ ] Humboldt; [ ] San Bernardino.

Portions of the plan area are located in the Rancho Agua Puerca y Las Trancas.

Information substantiating the mapped property boundaries, including recorded deeds and survey records, are housed at Cal Poly Swanton Pacific Ranch.

Assessor’s parcels 057-251-08 and 057-251-09 are currently zoned Residential Agriculture (RA) and are in the process to re-zone to Timber Production (TP). No harvest operations shall occur on these parcels until they are re-zoned to TP and the RPF has provided CDF proof of re-zoning via minor amendment. An application to demonstrate that the parcels are in the process to rezone is included in Section V.

PART OF PLAN

RECEIVED

3.1 REVISED
PREFACE

The completion of the Nonindustrial Timber Management Plan for Swanton Pacific Ranch realizes the goal of having a long-term timber management plan in place for both the Swanton and Valencia properties. Developing this document has been possible through the collective expertise of Ranch staff, forestry faculty and students, and forestry professionals from Big Creek Lumber Company. The proper management of timber resources of the Ranch is among our highest priorities and the NTMP ensures that we will be approaching this in the most socially-responsible manner in accordance with our Forest Stewardship Council certification and the California Forest Practice Rules. The added benefit is that we are assured that we will receive revenue periodically that will aid in meeting our educational and operational mission while contributing renewable wood products to meet future needs of our society. Ultimately, this document allows Cal Poly to continue to practice sustainable forest management that provides unlimited education and research opportunities for students and faculty; all of which are in keeping with the vision of our benefactor, Al Smith.

Brian Dietterick, Ph.D., P.H.
Director, Swanton Pacific Ranch
Many years of hard work, thought, and effort have gone into sustainable forest management at Swanton Pacific Ranch from many different individuals. This page and the list below honors those who have contributed to this project in the past and the present:

Al Smith  
Dr. Norm Pillsbury  
Russ White  
David Haupt  
Mike Jani  
Paul Anderson  
John Bulger  
Kevin Piper  
Bowman and Williams  
Duard Strong  
Robert Mitchell  
Augie Fusari  
Kelly Huff  
Brian Bishop  
Bob Goldthwaite  
Stacey Martinelli  
Chuck Whatford  
Lee Otter  
Antonio and Julian  
Susan Burgess  
Elizabeth Ball  
Leslie Markham

Dr. Douglas Piirto  
Dr. Rich Thompson  
Drew Perkins  
Brian Morris  
Eric Huff  
Tom Spittler  
Ryan Hilburn  
Brenda Smith  
La Donna Seeley  
Lud McCrary  
Cari Ellis  
Andy Morse  
Jeff Reimer  
Tim Best  
Mike Gaedeke  
Suzanne Deleon  
Sean Hayes  
Susan Craig  
Leslie Markham  
Gordon Claassen  
Starr Lee  
Chris Maranto  

Dr. Brian Dietterick  
Nadia Hamey  
David Yun  
Matt Gilbert  
Bob Reynolds  
Dr. Grey Hayes  
Jerry Kurz  
Jared Tapero  
Tom Midgle  
Janet Webb  
Tim Peet  
Colin Noyes  
Sam Fusari  
Michael Huette  
Louis Haughney  
Richard Fitzgerald  
Richard Sampson  
Jason Lessley  
Kirk Toups  
Susan Claassen  
Steve Spafford  
Glenda Hill  

Dr. Wally Mark  
Steve R. Auten  
Patricia Auten  
Ashley McClung  
Larry Bonner  
Jim West  
Joe Culver  
Steve Butler  
Bud McCrary  
George DeLatorre  
Matt Dias  
Ed Merrill  
Wally Reader  
Robert Smith  
Martha Beninger  
Donna Bradford  
Bill Melver  
Jonathon Hayes  
Kristen Lovett  
Bob Berlage  
Cal Poly Corporation  
Cal Poly Logging Team
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TOTAL ACREAGE 701 (Logging Area Only);

9. Planning Watershed: CALWATER Version, Identification Number, and Name;

Little Creek Planning Watershed, Calwater version 2.2 identification #3304.110202
Big Creek Planning Watershed, Calwater version 2.2 identification #3304.110201

10. [ ] Yes [ X ] No Has a Timberland Conversion been submitted and approved? If yes, list approval date, permit number, and expiration date.

11. [ ] Yes [ X ] No Is there a THP or NTMP on file with CDF for any portion of the plan area for which a report of satisfactory stocking has not been issued by CDF? If yes, identify the THP or NTMP number(s):

12. [ X ] Yes [ ] No Was a Notice of Preparation (NOP) posted as required by 14 CCR 1090.2 (g)? Who posted the Notice?

The Notice of Preparation was prepared in conformance with 14 CCR 926.3, which is specific to Santa Cruz County. This notice was distributed and posted by the RPF. The complete noticing package and the RPF certification that this Notice was sent, published, and posted according to the applicable Forest Practice Rules is included in Section V.

13. RPF preparing the NTMP: Name ______________ Nadia Hamey ______________ RPF Number ______________ 2788 ______________

Address ______________ 3564 Highway 1 ______________

City ______________ Davenport ______________ State ______________ CA ______________ Zip ______________ 95017 ______________ Phone ______________ (831) 457-6383 ______________

a. [ X ] Yes [ ] No I have notified the plan submitter(s), in writing, of their responsibilities pursuant to Title 14 CCR 1090.9 - .10 of the Forest Practice Rules, of their responsibilities for compliance with the Forest Practice Act and Board rules, and where applicable, Board rules regarding site preparation, stocking, and maintenance of roads, landings, and erosion control facilities.

A copy of the letter which was sent is included in Section V.

b. [ X ] Yes [ ] No I or my supervised designee will meet with the LTO prior to commencement of operations to advise of sensitive conditions and provisions of the plan pursuant to 14 CCR 1090.11.

c. [ X ] Yes [ ] No I will provide the LTO with a copy of the approved NTMP and Notice of Timber Operations (NTO) as per 14 CCR 1090.09(e) and (g). If no, who will provide the LTO a copy of the approved NTMP, subsequent amendments, and NTO?

d. I have the following authority and responsibilities for preparation and administration of the NTMP and timber operation. (Include both work completed and work remaining to be done):

1. The responsibility of NTMP preparation, layout, timber marking, plan review, and plan implementation is the sole responsibility of Nadia Hamey, RPF #2788. Steve R. Auten, Dr. Douglas Piirto, and Dr. Walter Mark are signatory to the NTMP so that they may take on RPF responsibilities along with Nadia Hamey following plan approval.

2. An RPF retained by the plan submitter is to provide professional advice to the LTO and timberland owner throughout timber operations, and to ensure that the RPF or supervised designee is present on the logging area at a sufficient frequency to know the progress of operations.

3. The RPF shall consult with the engineering geologist if additional clarifications on road construction for sites covered in the Focused Engineering Geologic Report are necessary.

e. Additional required work requiring an RPF, which I do not have the authority or responsibility to perform:

No additional required work has been identified at this time.
f. After considering the rules of the Board of Forestry and Fire Protection and the mitigation measures incorporated in this NTMP, I have determined that the timber operation:

[ ] will have a significant adverse impact on the environment. (Statement of reasons for overriding considerations contained in Section III)

[ X ] will not have a significant adverse impact on the environment.

Registered Professional Forester: I certify that I, or my supervised designee, personally inspected the NTMP area, and this plan complies with the Forest Practice Act, the Forest Practice Rules and the Professional Foresters Law.

Signature ___________________________ Date 10/31/2007
Nadia Hamey, RPF #2788

Signature ___________________________ Date 10/31/07
Steve R. Auten, RPF #2734

Signature ___________________________ Date 10/27/07
Dr. Douglas Piirto, RPF #2179

Signature ___________________________ Date 10/24/07
Dr. Walter R. Mark, RPF #1250